

September 30, 2015

TO: Members of the Board of Trustees

FROM: Scott A. Jordan *SJ*
Executive Vice President for Administration and Chief Financial Officer

RE: Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and
Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of \$8,276,987 (Storrs and the Regional Campuses: \$7,841,901 and UConn Health: \$435,086) for Fiscal Year 2015 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached list represents actual expenditures for the Deferred Maintenance project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual expenditures by type of project (buildings; infrastructure; code/ADA; access, appearance & safety; renovation lump sum) compared to the estimated amounts that formed the basis of the original capital budget for FY15 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily equal the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code/ADA Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

University of Connecticut
Deferred Maintenance/Code/ADA Renovation Lump Sum Budget and Project Expenditures through 6/30/15

	FY15 Original Budget 6/25/14	FY15 Revised Budget 6/24/15	Fiscal Year 2015 Authorized Projects			All Previous Years	Total FY15 Expenditures
			Expended in FY15	Encumbered	Budget Balance Available	Authorized Projects Expended in FY15	
Storrs & Regional Campuses							
Buildings	\$ 5,310,000	\$ 5,310,000	\$ 1,531,665	\$ 895,789	\$ 1,903,127	\$ 1,344,156	\$ 2,875,821
Infrastructure	8,900,095	8,900,095	2,152,400	5,954,754	3,730,469	3,125,215	5,277,615
Code/ADA	4,750,000	4,750,000	1,726,415	1,102,559	1,866,310	2,763,134	4,489,549
Access, Appearance & Safety	4,285,000	4,285,000	144,755	-	-	3,845,661	3,990,415
Renovation Lump Sum	4,450,305	4,450,305	2,286,667	1,899,728	1,574,215	5,626,409	7,913,076
Reserves	2,987,215	11,972,579	-	-	12,899,127	-	-
Total	\$ 30,682,615	\$ 39,667,979	\$ 7,841,901	\$ 9,852,830	\$ 21,973,248	\$ 16,704,574	\$ 24,546,476
UConn Health							
Buildings/Infrastructure	\$ 2,625,000	\$ 741,394	\$ 435,086	\$ 306,308	\$ -	\$ 1,254,125	\$ 1,689,211
Reserves	275,000	-	-	-	-	-	-
Total	\$ 2,900,000	\$ 741,394	\$ 435,086	\$ 306,308	\$ -	\$ 1,254,125	\$ 1,689,211
Grand Total	\$ 33,582,615	\$ 40,409,373	\$ 8,276,987	\$ 10,159,138	\$ 21,973,248	\$ 17,958,699	\$ 26,235,687

University of Connecticut
UCONN 2000 Phases I-III Deferred Maintenance / Code / ADA Renovation Lump Sum
Expenditures For Fiscal Years 1996 - 2015

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY2009	FY2010	FY2011	FY2012	FY2013	FY2014	FY2015	Total
Storrs & Regional Campuses	\$ 45,858,374	\$ 125,333,277	\$ (951,948)	\$ 12,531,250	\$ 19,548,353	\$ 20,869,953	\$ 16,342,058	\$ 22,449,663	\$ 11,809,480	\$ 13,320,722	\$ 11,220,309	\$ 24,546,476	\$ 322,877,968
UConn Health	-	11,700	1,396,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	2,786,926	3,784,252	3,714,548	1,689,211	31,584,081
Grand Total	\$ 45,858,374	\$ 125,344,977	\$ 444,660	\$ 15,099,123	\$ 22,726,999	\$ 25,156,906	\$ 21,498,616	\$ 25,460,469	\$ 14,596,406	\$ 17,104,974	\$ 14,934,857	\$ 26,235,687	\$ 354,462,050

UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications
Expenditures For Fiscal Years 1996 - 2015

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	Total
Storrs & Regional Campuses													
Academic Services ¹	\$ 28,880,247	\$ 61,083,979	\$ 4,093,617	\$ 5,203,765	\$ 14,934,628	\$ 8,577,412	\$ 2,079,299	\$ 3,747,178	\$ 252,889	\$ 100,286	\$ 7,178,597	\$ 12,219,997	\$ 148,351,894
Libraries ²	17,024,852	34,651,315	4,232,986	1,010,344	201,713	110,274	50	-	-	-	-	-	57,231,533
Student Services ³	541,364	1,764,989	2,651	43,731	200,860	131,085	39,040	6,630	-	-	136,927	72,595	2,939,873
Operational Support & Physical Plant Services ⁴	5,835,173	11,048,201	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	1,870,407	7,392,676	2,045,051	5,957,592	44,288,399
Total - UConn Storrs & Regional Campuses	\$ 52,281,635	\$ 108,548,484	\$ 8,330,274	\$ 7,367,137	\$ 20,489,019	\$ 9,386,105	\$ 2,466,211	\$ 6,715,815	\$ 2,123,296	\$ 7,492,963	\$ 9,360,575	\$ 18,250,184	\$ 252,811,699
UConn Health													
Academic Services ¹	\$ -	\$ 3,653	\$ 2,881,808	\$ 2,755,229	\$ 2,815,439	\$ 2,657,351	\$ 2,611,195	\$ 3,976,909	\$ 1,913,969	\$ 730,841	\$ 1,274,389	\$ 1,237,925	\$ 22,858,706
Libraries	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	120,783	214,513	3,546	(3,546)	7,464,903
Operational Support & Physical Plant Services ⁴	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	1,913,969	730,841	1,274,388	1,237,925	22,858,705
Total spent by UConn Storrs & Regional Campuses for Uconn Health ⁵	\$ -	\$ 7,306	\$ 7,171,294	\$ 6,650,356	\$ 6,197,361	\$ 6,475,883	\$ 6,466,971	\$ 9,563,602	\$ 3,948,721	\$ 1,676,194	\$ 2,552,323	\$ 2,472,303	\$ 53,182,314
Grand Total	\$ 52,281,635	\$ 108,555,790	\$ 15,501,568	\$ 14,017,493	\$ 26,686,380	\$ 15,861,988	\$ 8,933,182	\$ 16,279,417	\$ 6,072,017	\$ 9,169,157	\$ 11,912,898	\$ 20,722,487	\$ 305,994,011

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions was shifted to operating dollars as part of a phase-in plan which made UCONN 2000 dollars available for reallocation to other capital expenses. This was important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) UConn Health expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.