


September 29, 2016

TO: Members of the Board of Trustees

FROM: Scott A. Jordan   
Executive Vice President for Administration and Chief Financial Officer

RE: Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure  
Improvements & Renovation Lump Sum Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum expenditures of \$13,475,653 (Storrs and the Regional Campuses: \$13,433,553 and UConn Health: \$42,100) for Fiscal Year 2016 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached list represents actual expenditures for the Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum (Deferred Maintenance) project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual expenditures by type of project (buildings; infrastructure; code/ADA; access, appearance & safety; renovation lump sum) compared to the estimated amounts that formed the basis of the original capital budget for FY16 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily equal the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

**University of Connecticut**

**Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum Budget and Project Expenditures through 6/30/16**

	<u>A</u>			<u>B</u>			<u>A + B</u>
	FY16 Original Budget 6/24/15	FY16 Revised Budget 6/29/16	Fiscal Year 2016 Authorized Projects			All Previous Years Authorized Projects Expended in FY16	Total FY16 Expenditures
			Expended in FY16	Encumbered	Budget Balance Available		
<b>Storrs &amp; Regional Campuses</b>							
Buildings	\$ 7,610,000	\$ 7,742,597	\$ 2,287,786	\$ 2,629,256	\$ 2,825,555	\$ 5,488,144	\$ 7,775,930
Infrastructure	6,136,063	8,386,652	3,531,241	3,465,338	1,390,072	15,065,580	18,596,821
Code/ADA	3,600,000	2,375,189	134,856	23,919	2,216,414	5,002,595	5,137,451
Access, Appearance & Safety	3,265,556	1,666,447	857,031	215,835	593,581	791,735	1,648,766
Renovation Lump Sum	9,894,343	9,718,378	6,622,639	2,634,773	460,965	3,734,124	10,356,764
Reserves	3,278,995	696,287	-	-	696,287	284,894	284,894
<b>Total</b>	<b>\$ 33,784,957</b>	<b>\$ 30,585,549</b>	<b>\$ 13,433,553</b>	<b>\$ 8,969,122</b>	<b>\$ 8,182,875</b>	<b>\$ 30,367,073</b>	<b>\$ 43,800,626</b>
<b>UConn Health</b>							
Buildings/Infrastructure	\$ 2,900,000	\$ 2,900,000	\$ 42,100	\$ 45,858	\$ 2,812,042	\$ 2,092,802	\$ 2,134,902
Reserves	319,345	319,345	-	-	319,345	-	-
<b>Total</b>	<b>\$ 3,219,345</b>	<b>\$ 3,219,345</b>	<b>\$ 42,100</b>	<b>\$ 45,858</b>	<b>\$ 3,131,387</b>	<b>\$ 2,092,802</b>	<b>\$ 2,134,902</b>
<b>Grand Total</b>	<b>\$ 37,004,302</b>	<b>\$ 33,804,894</b>	<b>\$ 13,475,653</b>	<b>\$ 9,014,980</b>	<b>\$ 11,314,262</b>	<b>\$ 32,459,875</b>	<b>\$ 45,935,528</b>

**University of Connecticut**  
**UCONN 2000 Phases I-III Deferred Maintenance/Code Compliance/ADA Compliance/Infrastructure Improvements & Renovation Lump Sum**  
**Expenditures For Fiscal Years 1996 - 2016**

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	Total
Storrs & Regional Campuses	\$45,858,374	\$125,333,277	\$ (951,948)	\$12,531,250	\$19,548,353	\$20,869,953	\$16,342,058	\$22,449,663	\$11,809,480	\$13,320,722	\$11,220,309	\$24,546,476	\$43,800,626	\$ 366,678,594
UConn Health	-	11,700	1,396,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	2,786,926	3,784,252	3,714,548	1,689,211	2,134,902	33,718,984
<b>Grand Total</b>	<b>\$45,858,374</b>	<b>\$125,344,977</b>	<b>\$ 444,660</b>	<b>\$15,099,123</b>	<b>\$22,726,999</b>	<b>\$25,156,906</b>	<b>\$21,498,616</b>	<b>\$25,460,469</b>	<b>\$14,596,406</b>	<b>\$17,104,974</b>	<b>\$14,934,857</b>	<b>\$26,235,687</b>	<b>\$45,935,528</b>	<b>\$400,397,577.87</b>

**UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications**  
**Expenditures For Fiscal Years 1996 - 2016**

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015	FY 2016	Total
<b>Storrs &amp; Regional Campuses</b>														
Academic Services <sup>1</sup>	\$28,880,247	\$61,083,979	\$4,093,617	\$5,203,765	\$14,934,628	\$8,577,412	\$2,079,299	\$3,747,178	\$252,889	\$100,286	\$7,178,597	\$12,219,997	\$10,423,771	\$158,775,665
Libraries <sup>2</sup>	17,024,852	34,651,315	4,232,986	1,010,344	201,713	110,274	50	-	-	-	-	-	-	57,231,533
Student Services <sup>3</sup>	541,364	1,764,989	2,651	43,731	200,860	131,085	39,040	6,630	-	-	136,927	72,595	26,432	2,966,305
Operational Support & Physical Plant Services <sup>4</sup>	5,835,173	11,048,201	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	1,870,407	7,392,676	2,045,051	5,957,592	4,612,948	48,901,347
<b>Total - UConn Storrs &amp; Regional Campuses</b>	<b>\$52,281,635</b>	<b>\$108,548,484</b>	<b>\$8,330,274</b>	<b>\$7,367,137</b>	<b>\$20,489,019</b>	<b>\$9,386,105</b>	<b>\$2,466,211</b>	<b>\$6,715,815</b>	<b>\$2,123,296</b>	<b>\$7,492,963</b>	<b>\$9,360,575</b>	<b>\$18,250,184</b>	<b>\$15,063,152</b>	<b>\$267,874,850</b>
<b>UConn Health</b>														
Academic Services <sup>1</sup>	\$-	\$3,653	\$2,881,808	\$2,755,229	\$2,815,439	\$2,657,351	\$2,611,195	\$3,976,909	\$1,913,969	\$730,841	\$1,274,389	\$1,237,925	\$1,087,238	\$23,945,944
Libraries	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	120,783	214,513	3,546	(3,546)	-	7,464,903
Operational Support & Physical Plant Services <sup>4</sup>	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	1,913,969	730,841	1,274,388	1,237,925	1,087,238	23,945,942
<b>Total spent by UConn</b>														
<b>Storrs for UConn Health <sup>5</sup></b>	<b>\$-</b>	<b>\$7,306</b>	<b>\$7,171,294</b>	<b>\$6,650,356</b>	<b>\$6,197,361</b>	<b>\$6,475,883</b>	<b>\$6,466,971</b>	<b>\$9,563,602</b>	<b>\$3,948,721</b>	<b>\$1,676,194</b>	<b>\$2,552,323</b>	<b>\$2,472,303</b>	<b>\$2,174,475</b>	<b>\$55,356,789</b>
<b>Grand Total</b>	<b>\$52,281,635</b>	<b>\$108,555,790</b>	<b>\$15,501,568</b>	<b>\$14,017,493</b>	<b>\$26,686,380</b>	<b>\$15,861,988</b>	<b>\$8,933,182</b>	<b>\$16,279,417</b>	<b>\$6,072,017</b>	<b>\$9,169,157</b>	<b>\$11,912,898</b>	<b>\$20,722,487</b>	<b>\$17,237,627</b>	<b>\$323,231,639</b>

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions was shifted to operating dollars as part of a phase-in plan which made UCONN 2000 dollars available for reallocation to other capital expenses. This was important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) UConn Health expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

\*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.