



University of Connecticut
*Office of the Executive Vice President
for Administration and Chief Financial Officer*

September 25, 2013

TO: Members of the Board of Trustees

FROM: Richard D. Gray
Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures
and Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of \$1,113,033 for Storrs and the Regional Campuses and \$0 for the Health Center for Fiscal Year 2013 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached lists represent actual expenditures for the Deferred Maintenance project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual projects and expenditures compared to the estimated amounts that formed the basis of the original capital budget for FY13 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily reflect the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code/ADA Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

An Equal Opportunity Employer

352 Mansfield Road Unit 2122
Storrs, Connecticut 06269-2122

Telephone: (860) 486-3455
Facsimile: (860) 486-1070

UNIVERSITY OF CONNECTICUT (Storrs and Regional Campuses)

UCONN 2000 DEFERRED MAINTENANCE / CODE / ADA RENOVATION LUMP SUM PROJECT LIST FOR FISCAL YEAR 2013 AUTHORIZED PROJECTS

THROUGH FISCAL YEAR 6/30/13 (REPORT DOES NOT INCLUDE ALL PRIOR YEARS DEFERRED MAINTENANCE ALLOCATED PROJECTS)

PROJECT NAME	Project #	Original Allocation	Changes	Revised Budget	Expended	Encumbered	Budget Balance Available
<u>Deferred Maintenance - Buildings:</u>							
Babbidge IT & Phone Functional Areas-Heating, AC & Electrical	901580 *	-	-	-	-	-	-
Pharmacy Lab Renovations	901731 *	-	-	-	-	-	-
Horsebarn Hill Arena Snow Damage Repair	901606 *	-	-	-	-	-	-
Homer Babbidge Library - Repair Floor Coverings	901715	-	940,000.00	940,000.00	16,738.13	691,683.89	231,577.98
<i>Deferred Maintenance - Buildings - Allocation</i>		3,035,000.00	(940,000.00)	2,095,000.00	-	-	2,095,000.00
Subtotal		3,035,000.00	-	3,035,000.00	16,738.13	691,683.89	2,326,577.98
<u>Deferred Maintenance - Infrastructure:</u>							
Annual Allotment-Replace Old Clay Pipe	901415 *	-	-	-	-	-	-
North Eagleville Road Steam System Replacement		-	-	-	-	-	-
Repair One Million Gallon Tank #2	901652	-	1,650,000.00	1,650,000.00	625,368.66	531,816.96	492,814.38
Fenton River Well Field & Road Repair	901653 *	-	-	-	-	-	-
North Eagleville Road Area Infrastructure Repair/Replacement	901752	-	750,000.00	750,000.00	61,890.05	284,174.40	403,935.55
High Head Water Main Replacement	901634 *	-	-	-	-	-	-
<i>Deferred Maintenance - Infrastructure - Allocation</i>		3,580,000.00	(1,650,000.00)	1,930,000.00	-	-	1,930,000.00
Subtotal		3,580,000.00	750,000.00	4,330,000.00	687,258.71	815,991.36	2,826,749.93
<u>Code/ADA:</u>							
Gulley Hall Mold Remediation & Bathroom Renovations	901761	-	185,000.00	185,000.00	99,906.50	12,029.80	73,063.70
Environmental Compliance-Asbestos Abatement, Mold Remediation	901745	-	315,000.00	315,000.00	116,418.37	24,598.17	173,983.46
<i>Code/ADA - Allocation</i>		500,000.00	(500,000.00)	-	-	-	-
Subtotal		500,000.00	-	500,000.00	216,324.87	36,627.97	247,047.16
<u>Deferred Maintenance-Access, Appearance & Safety:</u>							
Mirror Lake Pathway Repair	901732 *	-	-	-	-	-	-
Mansfield Road Curb & Sidewalk Repair	901733 *	-	-	-	-	-	-
CLAS/Glenbrook Road Bus Stop Realignment	901735 *	-	-	-	-	-	-
Art Woods Quad Repair	901735 *	-	-	-	-	-	-
Fairfield Way Desire Paths Repair	901736 *	-	-	-	-	-	-
Glenbrook Road & Sidewalk Replacement	901618 *	-	-	-	-	-	-
Whetten, SBA, ITEB Quad Improvements	901579 *	-	-	-	-	-	-
Hillside Road Improvements	901578 *	-	-	-	-	-	-
Stairway Repair behind Garrigus Suites	901749 *	-	-	-	-	-	-
<i>Deferred Maintenance-Access, Appearance & Safety - Allocation</i>		5,244,120.00	-	5,244,120.00	-	-	5,244,120.00
Subtotal		5,244,120.00	-	5,244,120.00	-	-	5,244,120.00
<u>Renovation/Lump Sum:</u>							
New Faculty Renovations	901730 *	-	-	-	-	-	-
CUE 3rd & 4th Floor Renovations	901747	-	141,900.00	141,900.00	104,135.58	-	37,764.42
New Faculty Renovations - White Building Animal Science Lab	901757	-	1,827,500.00	1,827,500.00	28,866.31	84,433.00	1,714,200.69
College of Ag - Pathobiology/Microbiology Lab Renov-Atwater Bldg	901758	-	30,525.00	30,525.00	30,525.00	-	-
Whetten/SBA;Gentry/CUE;Pharmacy Quad-Access Mgmt Implementation	901759	-	150,000.00	150,000.00	29,184.19	98,400.00	22,415.81
<i>Renovation/Lump Sum - Allocation</i>		2,000,000.00	(2,149,925.00)	(149,925.00)	-	-	(149,925.00)
Subtotal		2,000,000.00	-	2,000,000.00	192,711.08	182,833.00	1,624,455.92
<u>Reserves/Indenture Changes:</u>							
Emergency/Reserve Fiscal Year FY 2013		1,538,455.00	(750,000.00)	788,455.00	-	-	788,455.00
Projects utilizing Prior Year Reserves		(8,590,000.00)	-	(8,590,000.00)	-	-	(8,590,000.00)
Indenture Change		-	-	2,011,276.00	-	-	2,011,276.00
Grand Total FY13		\$ 7,307,575.00	\$ -	\$ 9,318,851.00	\$ 1,113,032.79	\$ 1,727,136.22	\$ 6,478,681.99
Previous Years Authorized Projects					12,207,689.48		
Total FY13 Expenditures for all Projects					\$ 13,320,722.27		

*Projects were allocated funds from prior year reserves.

University of Connecticut Health Center
UCONN 2000 Deferred Maintenance/Code/ADA/Renovation Lump Sum Expenditures for Fiscal Year 2013 Authorized Projects
Through Fiscal Year 06/30/13 (Report does not include all prior years Deferred Maintenance allocated projects)

Project Name	Project #	Budget	Changes	Revised Budget	Expended	Encumbered	Budget
		Allocated FY13		FY13	FY13		Balance Available
<u>Deferred Maintenance - Buildings</u>							
U2210 Quantitative Medicine Temp Occupancy Modifications	13-045	-	40,000	40,000	-	-	40,000
Elevator Fire Alarm Upgrades	13-601.01	150,000	-	150,000	-	145,431	4,569
Phase II Fire Alarm Upgrade - Simplex	13-601.02	280,000	70,000	350,000	-	282,459	67,541
Upgrade Electrical Service Protectors AB043	13-601.03	150,000	-	150,000	-	-	150,000
Subtotal		\$ 580,000	\$ 110,000	\$ 690,000	\$ -	\$ 427,890	\$ 262,110
<u>Deferred Maintenance - Infrastructure</u>							
Main Building Sealant Replacement	08-603.01	1,300,000	-	1,300,000	-	949,752	350,248
Sidewalk Repairs/Replacement	13-603.01	150,000	-	150,000	-	5,170	144,830
Parking Lot Pavement Repairs	09-603.05	475,000	-	475,000	-	75,031	399,969
Subtotal		\$ 1,925,000	\$ -	\$ 1,925,000	\$ -	\$ 1,029,953	\$ 895,047
<u>Code/ADA</u>							
Misc Code ADA Improvements	13-602	25,000	-	25,000	-	-	25,000
Subtotal		\$ 25,000	\$ -	\$ 25,000	\$ -	\$ -	\$ 25,000
<u>Deferred Maintenance - Renovations/Lump Sum</u>							
Bldg 18 Construction Field Office	13-605	-	50,000	50,000	-	36,315.44	13,685
Subtotal		\$ -	\$ 50,000	\$ 50,000	\$ -	\$ 36,315	\$ 13,685
<u>Renovation And Lump Sum Projects</u>							
16 Munson Pavement Repair, Sealing and Marking	11-032	-	55,000	55,000	-	9,000	46,000
IT Cubes Build-out	13-034	-	41,000	41,000	-	890	40,110
Retro Commissioning Munson Road		200,000	-	200,000	-	-	200,000
Subtotal		\$ 200,000	\$ 96,000	\$ 296,000	\$ -	\$ 9,890	\$ 286,110
<u>Emergencies</u>							
Emergency/Reserve	11-606	270,000	(256,000)	14,000	-	-	14,000
Total FY13		\$ 3,000,000	\$ -	\$ 3,000,000	\$ -	\$ 1,504,049	\$ 1,495,951

Previous Years Authorized Projects

3,784,251.68

Total FY13 Expenditures for all Projects

\$ 3,784,251.68

University of Connecticut
UCONN 2000 Phases I-III Deferred Maintenance/Code/ADA Renovation Lump Sum
Expenditures For Fiscal Years 1996 - 2013

	Phase I	Phase II	Phase III							Total	
	FY96-FY99	FY00-FY05*	FY06	FY07	FY08	FY09	FY10	FY11	FY12		FY13
Storrs & Regional Campuses	\$ 45,858,374	\$ 125,333,277	\$ (951,948)	\$ 12,531,250	\$ 19,548,353	\$ 20,869,953	\$ 16,342,058	\$ 22,449,663	\$ 11,809,480	\$ 13,320,722	\$ 287,111,183
Health Center	-	11,700	1,396,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	2,786,926	3,784,252	26,180,322
Grand Total	\$ 45,858,374	\$ 125,344,977	\$ 444,660	\$ 15,099,123	\$ 22,726,999	\$ 25,156,906	\$ 21,498,616	\$ 25,460,469	\$ 14,596,406	\$ 17,104,974	\$ 313,291,506

UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications
Expenditures For Fiscal Years 1996 - 2013

	Phase I	Phase II	Phase III							Total	
	FY96-FY99	FY00-FY05*	FY06	FY07	FY08	FY09	FY10	FY11	FY12		FY13
Storrs & Regional Campuses											
Academic Services ¹	\$ 28,880,247	\$ 61,083,979	\$ 4,093,617	\$ 5,203,765	\$ 14,934,628	\$ 8,577,412	\$ 2,079,299	\$ 3,747,178	\$ 252,889	\$ 100,286	\$ 128,953,300
Libraries ²	17,024,852	34,651,315	4,232,986	1,010,344	201,713	110,274	50	-	-	-	57,231,533
Student Services ³	541,364	1,764,989	2,651	43,731	200,860	131,085	39,040	6,630	-	-	2,730,351
Operational Support & Physical Plant Services ⁴	5,835,173	11,048,201	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	1,870,407	7,392,676	36,285,756
Total - UConn Storrs & Regional Campuses	\$ 52,281,635	\$ 108,548,484	\$ 8,330,274	\$ 7,367,137	\$ 20,489,019	\$ 9,386,105	\$ 2,466,211	\$ 6,715,815	\$ 2,123,296	\$ 7,492,963	\$ 225,200,939
Health Center											
Academic Services ¹	\$ -	\$ 3,653	\$ 2,881,808	\$ 2,755,229	\$ 2,815,439	\$ 2,657,351	\$ 2,611,195	\$ 3,976,909	\$ 1,913,969	\$ 730,841	\$ 20,346,393
Libraries	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	120,783	214,513	7,464,903
Operational Support & Physical Plant Services ⁴	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	1,913,969	730,841	20,346,392
Total spent by UConn Storrs & Regional Campuses for Health Center ⁵	\$ -	\$ 7,306	\$ 7,171,294	\$ 6,650,356	\$ 6,197,361	\$ 6,475,883	\$ 6,466,971	\$ 9,563,602	\$ 3,948,721	\$ 1,676,194	\$ 48,157,689
Grand Total	\$ 52,281,635	\$ 108,555,790	\$ 15,501,568	\$ 14,017,493	\$ 26,686,380	\$ 15,861,988	\$ 8,933,182	\$ 16,279,417	\$ 6,072,017	\$ 9,169,157	\$ 273,358,628

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions was shifted to operating dollars as part of a phase-in plan which makes UCONN 2000 dollars available for reallocation to other capital expenses. This is important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000.
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operations.
- 5) Health Center expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.