ACADEMIC PRIORITIES

- Faculty hiring initiative
- New educational initiatives
- New research initiatives
- New IT initiatives
- New economic development initiatives
EXCITING PERIOD OF GROWTH

- 290 new faculty hiring program
- $1B in UCONN 2000 construction & renovations
- $865M Bioscience CT & $1.1B JAX Lab partnership for personalized medicine
- $172M Tech Park for innovation and industry collaboration
- Establish new institutes
  - Systems Genomics
  - Advanced Manufacturing
  - Innovation & Entrepreneurship

### UConn Statistics

<table>
<thead>
<tr>
<th></th>
<th>Fall 2005</th>
<th>Fall 2007</th>
<th>Fall 2009</th>
<th>Fall 2012 Estimates</th>
</tr>
</thead>
<tbody>
<tr>
<td>Undergrad Students</td>
<td>20,525</td>
<td>20,846</td>
<td>21,496</td>
<td>22,323</td>
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<tr>
<td>Bachelor's Degrees</td>
<td>3,816</td>
<td>4,354</td>
<td>4,610</td>
<td>5,261</td>
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<tr>
<td>Doctoral Degrees</td>
<td>261</td>
<td>339</td>
<td>266</td>
<td>390</td>
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<tr>
<td>Undergrad Applications</td>
<td>19,763</td>
<td>22,355</td>
<td>23,289</td>
<td>31,388</td>
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<tr>
<td>Grad Applications</td>
<td>6,628</td>
<td>7,694</td>
<td>8,229</td>
<td>9,032</td>
</tr>
<tr>
<td>SAT Scores</td>
<td>1189</td>
<td>1191</td>
<td>1212</td>
<td>1228</td>
</tr>
<tr>
<td>Freshmen in top 10%</td>
<td>37%</td>
<td>40%</td>
<td>44%</td>
<td>47%</td>
</tr>
<tr>
<td>6 Year Grad Rate</td>
<td>72%</td>
<td>75%</td>
<td>78%</td>
<td>Not Available</td>
</tr>
<tr>
<td>Tenured/TT Faculty (Total Faculty)</td>
<td>1,004 (1,251)</td>
<td>1,007 (1,294)</td>
<td>989 (1,286)</td>
<td>1,072 (1,406)</td>
</tr>
<tr>
<td>FY06</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FY08</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>FY10</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FY13 Estimates</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Scholarships &amp; Grants</td>
<td>$71.8M</td>
<td>$89.9M</td>
<td>$116.1M</td>
<td>$141.8M</td>
</tr>
<tr>
<td>Research Awards</td>
<td>$181.8M</td>
<td>$194.5M</td>
<td>$233.2M</td>
<td>$200.0M</td>
</tr>
<tr>
<td>Research Proposals</td>
<td>$355M</td>
<td>$425M</td>
<td>$607M</td>
<td>$552M</td>
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</table>
US News & World Report

<table>
<thead>
<tr>
<th>Key Measures</th>
<th>Weight</th>
<th>2010 Data</th>
<th>2011 Data</th>
</tr>
</thead>
<tbody>
<tr>
<td>Peer assessment score (out of 5)</td>
<td>15%</td>
<td>3.2</td>
<td></td>
</tr>
<tr>
<td>HS counselor score (out of 5)</td>
<td>7.5%</td>
<td>3.8</td>
<td></td>
</tr>
<tr>
<td>Average freshman retention rate</td>
<td>4%</td>
<td>93%</td>
<td>93%</td>
</tr>
<tr>
<td>Classes with under 20 students</td>
<td>6%</td>
<td>44%</td>
<td>42%</td>
</tr>
<tr>
<td>6-year graduation rate</td>
<td>16%</td>
<td>81%</td>
<td>83%</td>
</tr>
<tr>
<td>Classes with 50+ students</td>
<td>2%</td>
<td>17%</td>
<td>18%</td>
</tr>
<tr>
<td>Full-time faculty w/ highest degree</td>
<td>3%</td>
<td>95%</td>
<td>95%</td>
</tr>
<tr>
<td>Acceptance rate</td>
<td>1.5%</td>
<td>54%</td>
<td>47%</td>
</tr>
<tr>
<td>Average SAT score</td>
<td>7.5%</td>
<td>1221</td>
<td>1216</td>
</tr>
<tr>
<td>Freshmen in top 10% of class</td>
<td>6%</td>
<td>44%</td>
<td>43%</td>
</tr>
<tr>
<td>Average alumni giving rate</td>
<td>5%</td>
<td>17%</td>
<td>18%</td>
</tr>
</tbody>
</table>

- Transformative programs to develop national programs and meet critical teaching needs
- Aligned with UConn initiatives (e.g. Bioscience CT, Tech Park)
- Increase the diversity of the faculty
- Closing the Achievement Gap in CT (NEAG-CLAS)
- Human Genomics, Materials Genomics & Advanced Manufacturing (SOE-CLAS-SOM-SDM-SON)
- Sustainability (CANR-CLAS-SOE)
- Digital media & Digital Humanities (SFA-SBUS-SOE-CLAS)
- Asian Studies (CLAS)

FACULTY HIRING PLAN

<table>
<thead>
<tr>
<th>Year</th>
<th>2012</th>
<th>2013</th>
<th>2014</th>
<th>2015</th>
</tr>
</thead>
<tbody>
<tr>
<td># Faculty</td>
<td>65</td>
<td>100</td>
<td>65</td>
<td>60</td>
</tr>
</tbody>
</table>
NEW EDUCATIONAL INITIATIVES

• B.A. in Environmental Studies
• B.S. in Financial Management (Stamford)
• Minor and B.A. in Chinese Language
• Certificate in Social Media Marketing
• Development of new field of ‘Digital Humanities’
• New Departments:
  • Digital Media in the School of Fine Arts
  • Biomedical Engineering in the School of Engineering

NEW EDUCATIONAL INITIATIVES

• Honors Program
  • Emphasis on undergraduate research
  • Preparation for graduate & professional studies
  • Dr. Jennifer Lease Butts (NEAG) selected as Director after a national search
• International Programs
  • Dr. Daniel Weiner (Ohio University) selected as Vice Provost after a national search
  • Integration of global experience with curriculum and research
  • Faculty engagement to develop innovative partnerships
NEW EDUCATIONAL INITIATIVES

• Diversity Programs
  • Dr. Jeffrey Ogbar (CLAS) selected as Vice Provost after a national search
  • Create a dynamic and supportive environment for diverse cultures, perspectives and backgrounds
  • Develop innovative strategies for recruitment of faculty and students

• Public Engagement Programs
  • Dean Robert McCarthy (Pharmacy) appointed as Vice Provost
  • Implemented 1st year of Public Engagement Strategic Plan

NEW EDUCATIONAL INITIATIVES

• Established the Center for Excellence in Teaching & Learning (CETL)
  • Institute for Teaching and Learning
  • Outreach/Early College Experience
  • Incubator for Continuing Education
  • E-Campus (On-Line Learning)

• Student Affairs
  • Renovation of housing and dining halls
  • Realignment and growth of Career Services
    • Jobs, internship and Co-Op placements
    • Employer relationship development
GRADUATE SCHOOL

• Dr. Kent Holsinger (CLAS) appointed as interim Dean and Vice Provost

• Dr. Susan Chafouleas (NEAG) appointed as Associate Dean

• Professional development programs for:
  • Teaching preparation
  • Technical writing
  • Proposal development
  • Research ethics, etc

• Cultural engagement of graduate students with campus activities

• New NSF IGERT on “Genes, Brain, Cognition and Computation”

NEW RESEARCH AWARDS

[Bar chart showing awards over fiscal years (FY) and forecasting for FY12]
FEDERAL RESEARCH PRIORITIES

• $65B for research (+1.7% basic, +3.6% applied)
• $2.2B for advanced manufacturing
• Permanent R&D tax credit (~$8B per year)
• 100,000 STEM educators
• Low-Carbon economy
  • $6.7B for clean energy R&D
• Global Change Research Program: +5.6% ($2.6B)

NEW RESEARCH INITIATIVES

• Workshops on innovative, emerging themes
• Establish Research Development Unit to:
  • Support large, multi-disciplinary programs
  • Develop institutional modules for:
    • Broader impacts in education & outreach
    • Assessment tools and industry partnership
    • Conduct external proposal reviews
• Develop partnerships with industry and FFRDCs
• Proposal writing workshops for faculty & staff
• Streamline proposal submission (on-line process)
• Implement distribution of credit for PIs/Units
ECONOMIC DEVELOPMENT

• Charting dynamic new course
• Advancing products and companies
• Updates
  • Technology Park
  • Bioscience Connecticut
• Strengthening partnerships
• Increasing outcomes

FY12 HIGHLIGHTS

• Revenues
  • Minimal tuition & fee increases – higher enrollment
  • Less state support – reduction in appropriation
  • More activity in educational activities such as Study Abroad
• Expenditures
  • 27th payroll
  • Increased financial aid
  • No wage increases (except for 2 months for UCPEA)
  • Lower energy costs - mild winter & favorable prices
  • Less research activity
  • Deficit reduction plan – procurement efficiencies & university-wide savings efforts
## FY12 BUDGET & FORECAST ($M)

<table>
<thead>
<tr>
<th></th>
<th>Budget</th>
<th>Forecast</th>
<th>Variance</th>
</tr>
</thead>
<tbody>
<tr>
<td>Revenues</td>
<td>$1,028.6</td>
<td>$1,028.9</td>
<td>$0.3</td>
</tr>
<tr>
<td>Expenses</td>
<td>$1,033.2</td>
<td>$1,024.4</td>
<td>($8.8)</td>
</tr>
<tr>
<td>Net Gain (Loss)</td>
<td>($4.6)</td>
<td>$4.5</td>
<td>$9.1</td>
</tr>
</tbody>
</table>

## STRATEGIC REDESIGN INITIATIVE

- Hired McKinsey & Company to conduct an exhaustive review of key areas of non-academic operations which included:
  - Cost reductions
  - Improved & streamlined processes
  - Greater generation of non-tuition revenue

- Implementation of recommendations is a multi-year process

- Cost-saving measures already underway in procurement, facilities operations, information technology and general administration helped to balance the FY12 budget
FY13 BUDGET PLAN

- Tuition increases to support faculty hiring plan
- State appropriation (2% less prior to funds for Eminent Faculty, CT Center for Advanced Technology, CommPACT, Kerr Grant)
- Increased financial aid
- No wage increases per SEBAC agreement
- Funding for initiatives & investments
- Continuation of university-wide savings efforts

REVENUES ($M)

FY12 Forecast: $1,028.9
- State Support $281.0 (27.3%)
- Tuition & Fees $358.1 (34.8%)
- Grants/Contracts $181.9 (17.7%)
- Auxiliary $184.1 (17.9%)
- Other $32.8 (3.2%)

FY13 Budget: $1,053.9
- State Support $292.6 (27.8%)
- Tuition & Fees $378.1 (36.7%)
- Grants/Contracts $178.4 (16.7%)
- Auxiliary $188.8 (17.4%)
- Other $29.7 (2.8%)
• Support of students & programs in FY11 was $26.4M
• Total new gifts & commitments increased 4.3% from FY09 to FY11
• $41.7M at 4/30/12 or 73% of $57M goal

<table>
<thead>
<tr>
<th>Endowment</th>
<th>Total ($M) (Includes UCHC &amp; pledges)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY96</td>
<td>$70.0</td>
</tr>
<tr>
<td>FY00</td>
<td>$237.6</td>
</tr>
<tr>
<td>FY04</td>
<td>$275.4</td>
</tr>
<tr>
<td>FY06</td>
<td>$327.8</td>
</tr>
<tr>
<td>FY08</td>
<td>$343.9</td>
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<tr>
<td>FY09</td>
<td>$267.9</td>
</tr>
<tr>
<td>FY10</td>
<td>$287.8</td>
</tr>
<tr>
<td>FY11</td>
<td>$330.8</td>
</tr>
</tbody>
</table>

% Change: 23%

**EXPENDITURES ($M)**

- FY12 Forecast: $1,024.4
  - Personal Services (w/FB): $568.6 (56.0%)
  - Research Fund: $95.1 (9.4%)
  - Transfers: $10.8 (1.1%)
  - Financial Aid: $121.9 (11.9%)
  - Equip & Other: $199.8 (19.5%)

- FY13 Budget: $1,054.9
  - Personal Services (w/FB): $563.1 (53.2%)
  - Research Fund: $95.6 (9.1%)
  - Transfers: $10.6 (1.0%)
  - Financial Aid: $125.5 (11.9%)
  - Equip & Other: $211.1 (20.0%)
FACULTY HIRING PLAN

- Goals of 4 year tuition and fee / faculty hiring plan
  - Decrease the student to faculty ratio by hiring 290 new faculty over 4 years
  - Expand course offerings
  - Allow students and parents to budget ahead of time

<table>
<thead>
<tr>
<th>Student to Faculty Ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY96</td>
</tr>
<tr>
<td>FY98</td>
</tr>
<tr>
<td>FY00</td>
</tr>
<tr>
<td>FY02</td>
</tr>
<tr>
<td>FY04</td>
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<tr>
<td>FY06</td>
</tr>
<tr>
<td>FY08</td>
</tr>
<tr>
<td>FY10</td>
</tr>
<tr>
<td>FY11</td>
</tr>
<tr>
<td>FY12</td>
</tr>
</tbody>
</table>

UCONN’S AFFORDABILITY

- Among the Top Public Universities:
  - In-State Undergraduate Tuition & Mandatory Fees
    - UConn’s 2.4% one-year increase for FY12 is the 3rd lowest
    - UConn’s FY12 rate of $10,670 places us in the middle of the pack, as we rank 26th most expensive (up from 15th in FY08)
    - UConn’s rate increase of 20.5% from FY08-FY12 is the 8th lowest
  - Out-of-State Undergraduate Tuition & Mandatory Fees
    - UConn’s 2.6% one-year increase for FY12 is the 4th lowest
    - UConn’s FY12 rate of $27,566 ranks as the 29th most expensive (up from 22nd in FY08)
    - UConn’s rate increase of 21.0% from FY08-FY12 is the 16th lowest
FY13 – FY16 INCREASES

<table>
<thead>
<tr>
<th>In-State Undergraduate</th>
<th>FY13*</th>
<th>FY14**</th>
<th>FY15**</th>
<th>FY16**</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tuition</td>
<td>$8,712</td>
<td>$9,264</td>
<td>$9,864</td>
<td>$10,536</td>
</tr>
<tr>
<td></td>
<td>5.50%</td>
<td>6.25%</td>
<td>6.50%</td>
<td>6.75%</td>
</tr>
<tr>
<td>Other Fees</td>
<td>2,650</td>
<td>2,710</td>
<td>2,796</td>
<td>2,882</td>
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<tr>
<td>Room - Double</td>
<td>6,096</td>
<td>6,278</td>
<td>6,466</td>
<td>6,660</td>
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<tr>
<td>Board - Value Plan</td>
<td>5,044</td>
<td>5,196</td>
<td>5,352</td>
<td>5,512</td>
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<tr>
<td>Total</td>
<td>$22,502</td>
<td>$23,448</td>
<td>$24,478</td>
<td>$25,590</td>
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<tr>
<td>Increment</td>
<td>$1,016</td>
<td>$946</td>
<td>$1,030</td>
<td>$1,112</td>
</tr>
<tr>
<td>Percentage</td>
<td>4.7%</td>
<td>4.2%</td>
<td>4.4%</td>
<td>4.5%</td>
</tr>
</tbody>
</table>

*Tuition not increased to higher rate as previously approved.
**FY14-FY16 at higher tuition rate, assumes no increase for faculty plan in State appropriation.

WE ALWAYS TAKE CARE OF OUR NEEDY STUDENTS

- Our best financial aid packages are provided to in-state, low income students
  - Tuition funded need-based grants increased 35% since FY10
  - Tuition funded need-based grants as a percentage of net tuition revenue is budgeted at 19.5% for FY13

- During FY12, more than 21,000 students received financial aid from all known sources (more than 17,500 were packaged by Financial Aid Office)

- For FY13:
  - Additional one-time aid of $4.1M ($5.8M in FY12) is attributable to the change in continuing student demand
  - $1.2M to replace State reduction in CAPCS funding for FY13 only
  - $500k in new Law School scholarships (year 1 of 3)
### FINANCIAL AID ($M)

<table>
<thead>
<tr>
<th>Tuition Funded</th>
<th>FY10 (Actual)</th>
<th>FY11 (Actual)</th>
<th>FY12 (Forecast)</th>
<th>FY13 (Budget)</th>
<th>FY10-FY13 % Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>Need-Based Grants</td>
<td>$36.3</td>
<td>$40.8</td>
<td>$46.5</td>
<td>$48.9</td>
<td>34.7%</td>
</tr>
<tr>
<td>Work Study/Student Labor</td>
<td>5.9</td>
<td>6.1</td>
<td>5.8</td>
<td>6.1</td>
<td>3.4%</td>
</tr>
<tr>
<td>Scholarships</td>
<td>18.4</td>
<td>20.7</td>
<td>23.0</td>
<td>25.8</td>
<td>40.2%</td>
</tr>
<tr>
<td>Tuition Waivers</td>
<td>45.2</td>
<td>46.9</td>
<td>48.8</td>
<td>50.4</td>
<td>11.5%</td>
</tr>
<tr>
<td>Total Tuition Funded</td>
<td>$105.8</td>
<td>$114.5</td>
<td>$124.1</td>
<td>$131.2</td>
<td>18.4%</td>
</tr>
<tr>
<td>Total Tuition Funded as a % of Gross Tuition Revenue</td>
<td>38.9%</td>
<td>39.1%</td>
<td>40.2%</td>
<td>40.7%</td>
<td></td>
</tr>
</tbody>
</table>

Tuition Funded Need-Based Aid as a % of Net Tuition Revenue 17.6% 18.1% 19.3% 19.5%

### TOTAL FINANCIAL AID ($M)

- **FY10/FY13 %**
  - Federal Loans: 42.6%/43.7%
  - Private Loans: 4.6%/3.5%
  - State Aid: 3.9%/2.4%
  - Private Aid: 6.7%/6.7%
  - Federal Aid: 6.3%/6.7%
  - Institutional Aid: 35.9%/37.1%
INITIATIVES & INVESTMENTS

- Plant Renewal Program - $2M
- Equipment - $1M
- Economic Development
- Center for Excellence in Teaching & Learning
- Athletics support
- Facilities: space planning & branding
- McKinsey: continuation of university-wide savings efforts

FY 2014 CHALLENGES

- Provide infrastructure and support needed to implement the Faculty Hiring Plan
- Ensure academic quality
- Increase innovation, technology development and new jobs
- Continue to train highly skilled professionals to meet the needs of Connecticut businesses
**UCONN HEALTH 2020**

- Engage Board, faculty, staff and other key stakeholders in defining common vision for UCHC in 2020
- Align goals with future vision
- Develop performance management system to ensure accountability for targets

---

**UCHC GOALS: FY13**

- Achieve financial stability to secure long term future of Health Center
- Increase clinical referrals and visibility
- Focus and align research investments
- Teaching in 21st Century: group learning
- Increase philanthropic support for UCHC’s research, clinical and educational missions
- Construct new and renovate existing UCHC facilities
GOAL #1: FINANCIAL STABILITY

- Increase state operating support in Phase II of Bioscience CT
- Evaluate current and proposed clinical programs
- Tightly control FY13 expenses; mission-based FY14 budget process
- Maintain market based commercial insurer contracts
- Evaluate conversion to hospital-based clinics

GOAL #2: CLINICAL ENTERPRISE

- Develop clinical strategic plan aligned with overall strategic goals
- Improve quality and patient satisfaction
- Improve patient access to UCHC physician and ancillary services and collaborate with region’s health care providers to more broadly improve access to care
GOAL #3: FOCUSED & EXPANDED RESEARCH

- Target faculty recruitments to align with a strategic plan that synergizes with Jackson Laboratory’s strengths, as well as develops other areas of research distinction as envisioned in Bioscience CT
- Increase research productivity
- Initiate efforts to achieve top 10 ranking nationally for funded research in School of Dental Medicine (currently 18 of 61) in the next 5 years
- Innovate: commercialize research

GOAL #4: STRENGTHEN TEACHING PROGRAMS

- Reduce student debt through increased scholarship support and loan forgiveness programs for medical and dental school graduates who pursue primary care careers in CT – Bioscience CT goal
- Plan for increased medical and dental class enrollment by 30%
- Prepare for undergraduate medical education curriculum reform
- Enhance GME and residency education, adhering to new accreditation standards related to competencies and milestones
- Seek training grants for Graduate School education
GOAL #5: INCREASE PHILANTHROPY

- Increase major giving
- Expand participation and profitability of special events
- Increase alumni engagement and support of the Medical, Dental and Graduate schools
- Now is the time

GOAL #6: CONSTRUCTION

New Construction & Renovations Timeline

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Construct Ambulatory Care Center</td>
<td>Design: 10 mo.</td>
<td>Construction: 20 mo.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Medical Education Space</td>
<td>Design: 16 mo.</td>
<td>Build Addition: 18 mo.</td>
<td>Adding Renovations</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Renovate Research Building: Phase 1</td>
<td>Design: 26 mo.</td>
<td>Construction: 30 mo.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Lab Addition to CGSB</td>
<td>Design: 14 mo.</td>
<td>Construction: 19 mo.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
FY12 BUDGET & FORECAST ($M)

<table>
<thead>
<tr>
<th></th>
<th>Budget</th>
<th>Forecast</th>
<th>Variance</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Revenues</strong></td>
<td>$801.0</td>
<td>$790.7</td>
<td>($10.3)</td>
</tr>
<tr>
<td>State Support: 21.0%, 21.2%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Net Patient Care: 43.0%, 43.5%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other: 36.0%, 35.3%</td>
<td>$801.0</td>
<td>$790.7</td>
<td>($10.3)</td>
</tr>
<tr>
<td><strong>Expenses</strong></td>
<td>$805.2</td>
<td>$795.5</td>
<td>($9.7)</td>
</tr>
<tr>
<td>Personal Services &amp; FB: 55.1%, 56.5%</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Other: 44.9%, 43.5%</td>
<td>$805.2</td>
<td>$795.5</td>
<td>($9.7)</td>
</tr>
<tr>
<td><strong>Net Loss</strong></td>
<td>($4.2)</td>
<td>($4.8)</td>
<td>($0.6)</td>
</tr>
</tbody>
</table>

FY13 BUDGET PLAN

• **Revenues:**
  • 4% increase in tuition & fees
  • Grants and contracts revenues increase by 3.9% ($5M related to Cage Processing capital project)
  • Higher clinical revenues due to volume & rate
  • Clinical faculty recruitments in Mohs, Neurosurgery, Gastroenterologist, General Surgery and Cardiology
  • Auxiliary and other income increase due to Connecticut Children’s Medical Center contract
  • Increase in State support (primarily related to faculty recruitment and Correctional Managed Health Care services)

• **Expenses:**
  • No bargaining unit increases due to SEBAC
  • Decrease in drugs due to anticoag drug patients (elimination of expense and revenue)
  • Operating budget includes expenses necessary to meet construction time tables to ensure the success of Bioscience CT
In FY13, 21.1% of UCHC’s budget is State supported, 78.9% comes from other revenues (clinical, research, tuition and philanthropy).
FY13 EXPENDITURE BUDGET BY MAJOR CATEGORY - $825.0M

- **Personal Services (w/FB)**: 56.9%
- **Medical Contractual Support**: 2.1%
- **Medical/Dental House Staff**: 5.6%
- **Correctional Managed Health Care**: 10.4%
- **Drugs/Medical Supplies**: 7.8%
- **Outside & Other Purchases**: 7.0%
- **Other Expenses**: 6.3%
- **Depreciation**: 3.9%

FY09 Awards: $53.5M
FY10 Awards: $65.9M
FY11 Awards: $49.3M
FY12 Projected Awards: $48.0M
FY13 Budgeted Awards: $45.0M

Note: this graph includes the full grant amount in the year the grant is awarded (even if the award is a multi-year award). (Awards exclude gifts)
**RESEARCH REVENUE RECOGNITION ($M)**

Note: Research Revenue includes indirect cost recovery

- NIH Grants
- All Other Grants

**STATE APPROPRIATION ($M)**

Not adjusted to account for $20M transferred from the UCHC Medical Malpractice Trust Fund to the General Fund in FY10 and FY11.
INFORMATION TECHNOLOGY

• Data Center Rescue
• Disaster Recovery
• Network Master Plan (wired)
• SecureU
• End User Services
• Identity and Access Management
• Decision Support System (Data Warehouse)

UCONN 2000 PROGRAM STRUCTURE

• Project list in law
• Annual bond caps
• Authority delegated to Board of Trustees
• University administers program
• Semi-annual reports to Governor & General Assembly (Book 34)
• Annual audit
BOARD OF TRUSTEES PROCESS

- General capital plan
- Annual project list & supplemental indenture
- Submit list to Governor
- List triggers expenditure plan
  - Projects >$500K approved at Planning, Design, Final stages by Financial Affairs Committee
- Program & planning adjustments via phasing schedule & indenture changes are ongoing

UCONN 2000 CAPITAL PROGRAM

- 23 year program: $2.73B state debt service commitment
  - Phase I & II: $962M (FY 1996-2005)
  - Phase III: $1.77B (FY 2005-2018): Storrs=$1.0B, UCHC=$767M
- $1.7B (Storrs=$1.48B, UCHC=$250M) authorized to date in bonds with state debt service commitment for 99 projects
- $189.2M in special obligation bonds authorized to date to be repaid by the University for 9 projects
- Remaining bond authorization for FY13 - FY18 is $1.0B (Storrs=$484M, UCHC=$517M)
**FY13 CAPITAL PROGRAM**

- Program & planning adjustments due to limited resources
  - Better defined scopes lead to larger projects
  - Escalation environment & market conditions
  - Re-prioritizing existing DM projects / utilization of prior year reserves
  - Focus on critically important infrastructure repair and replacement projects

- **FY13 Capital Budget**
  - $143M UCONN 2000
  - $36.1M UCHC Capital

**QUESTIONS?**