



September 24, 2014

TO: Members of the Board of Trustees

FROM: John M. Biancamano
Interim Executive Vice President for Administration and Chief Financial Officer

Mun Y. Choi
Provost and Executive Vice President for Academic Affairs

RE: Deferred Maintenance/Code/ADA Renovation Lump Sum Expenditures and Equipment Expenditures

RECOMMENDATION:

That the Board of Trustees approve the Deferred Maintenance/Code/ADA Renovation Lump Sum expenditures of \$1,744,636 for Storrs and the Regional Campuses and \$0 for UConn Health for Fiscal Year 2014 authorized projects.

BACKGROUND:

In keeping with the process outlined in the General Guidelines for Implementing the Corrective Action Plan for UConn's Construction Program approved on August 2, 2005, the attached lists represent actual expenditures for the Deferred Maintenance project line. Each year's capital budget includes a preliminary estimate of deferred maintenance project costs. After fiscal year close, the final list of expenditures is submitted to the Board for approval. The attached information includes a listing of actual projects and expenditures compared to the estimated amounts that formed the basis of the original capital budget for FY14 previously submitted to the Board. Please note that depending on the start and duration of a project, expenditures could occur over multiple years and therefore the expenditures do not necessarily reflect the authorization for the specific year.

Also attached is the annual informational report: the summary of all Deferred Maintenance/Code/ADA Renovation Lump Sum and Equipment, Library Collections and Telecommunications expenditures to date under the UCONN 2000 program.

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University of Connecticut - Storrs and Regional Campuses

Fiscal Year 2014 UCONN 2000 Deferred Maintenance / Code / ADA Renovation Lump Sum Project List and Expenditures through 6/30/14

Project Name	Project #	Original Allocation**	Changes	Revised Budget	Expended	Encumbered	Budget Balance Available
Deferred Maintenance - Buildings:							
Advanced Technology Laboratory/White Building Electrical & Generator Mods	201598*	\$ -	\$ 420,698	\$ 420,698	\$ -	\$ -	420,698
Advanced Technology Laboratory-Primary & Secondary Chilled Water Mods	901789*	-	50,000	50,000	12,698	-	37,302
Alumni Quad-Replace Air Scoops & Expansion Tanks	901790*	-	50,000	50,000	-	-	50,000
Atwater Laboratory Electrical Services	901633*	-	465,000	465,000	294,778	-	170,222
Babbidge-Redesign & Reinstall Coils to Stop Leaks	901791*	-	50,000	50,000	-	-	50,000
Biology/Physics Exterior Masonry Wall, Water Intrusion	901815	-	50,000	50,000	-	40,600	9,400
Business-Condenser Unit Relocation	901792*	-	30,000	30,000	-	-	30,000
Replace Chemistry Air Compressor	901822*	-	450,000	450,000	11,267	-	438,733
Replace Gampel Cooling Towers	901795	-	940,000	940,000	69,699	94,000	776,301
<i>Deferred Maintenance - Buildings - Allocation</i>		1,180,000	(1,180,000)	-	-	-	-
Subtotal		\$ 1,180,000	\$ 1,325,698	\$ 2,505,698	\$ 388,443	\$ 134,600	\$ 1,982,655
Deferred Maintenance - Infrastructure:							
Hillside Road & Gilbert Road Infrastructure	901825*	-	20,000	20,000	268	-	19,732
Main Water Supply Line Repair/Replacement Phase I	901634*	-	5,979,905	5,979,905	-	-	5,979,905
Main Water Supply Line Repair/Replacement Phase II	901819*	-	425,000	425,000	-	-	425,000
North West Quadrant Infrastructure	901826*	-	30,000	30,000	-	-	30,000
Sewer Replacement @ Storrs Road Pump Station-Design	901675*	-	2,750,000	2,750,000	297,449	-	2,452,551
Water Pollution Control Facility Priority 1 Repairs	901810	-	250,000	250,000	-	26,500	223,500
WPCF Main Transfer Switch	901796	-	50,000	50,000	10,938	-	39,062
<i>Deferred Maintenance - Infrastructure - Allocation</i>		4,040,000	(4,040,000)	-	-	-	-
Subtotal		\$ 4,040,000	\$ 5,464,905	\$ 9,504,905	\$ 308,655	\$ 26,500	\$ 9,169,750
Code/ADA:							
Environmental Compliance-Asbestos Abatement, Mold Remediation	901787	-	500,000	500,000	95,293	33,705	371,002
<i>Code/ADA - Allocation</i>		1,500,000	(875,000)	625,000	-	-	625,000
Subtotal		\$ 1,500,000	\$ (375,000)	\$ 1,125,000	\$ 95,293	\$ 33,705	\$ 996,002
Deferred Maintenance-Access, Appearance & Safety:							
Fine Arts Gateway & Pedestrian Access	901812	-	1,500,000	1,500,000	172,986	1,060,300	266,714
King Hill Road Building Demolition	901778*	-	63,550	63,550	46,682	-	16,868
Sherman Field Walkway	901827*	-	30,000	30,000	2,289	-	27,711
Sidewalk Improvements-South Campus	901775*	-	387,376	387,376	134,909	-	252,467
Stadium Road Improvements	901776*	-	200,000	200,000	122,619	-	77,381
<i>Deferred Maintenance-Access, Appearance & Safety - Allocation</i>		9,439,650	(9,439,650)	-	-	-	-
Subtotal		\$ 9,439,650	\$ (7,258,724)	\$ 2,180,926	\$ 479,484	\$ 1,060,300	\$ 641,142
Renovation/Lump Sum:							
AMIC Lab 132 & Room 110 - Longley	901845	-	115,700	115,700	-	-	115,700
Avery Point Academic Building Classroom 211 Upgrades	901838	-	175,000	175,000	-	-	175,000
BECAT-A41	901818	-	13,550	13,550	10,158	-	3,392
CANR Office Renovation - Jones Annex	901843	-	38,000	38,000	-	-	38,000
FMRI(Func Magnetic Resonance Imaging)-Acq & Installation	201630	-	4,700,000	4,700,000	236,464	157,339	4,306,197
Football Annex Demolition	901834	-	200,000	200,000	-	-	200,000
Gant Physics P004, P005, P006 New Faculty Renovation	901835	-	361,100	361,100	-	-	361,100
Hammer/Discus Relocation	901829*	-	39,500	39,500	-	-	39,500
ITE Research Spaces	901817	-	185,000	185,000	136,090	-	48,910
Klinck A/C Hookup	901839	-	37,000	37,000	-	-	37,000
Longley Building Room 202 SOE Renovation	901816	-	100,000	100,000	-	-	100,000
Physics 2nd Floor Classroom Labs Renovation	901823	-	440,000	440,000	-	-	440,000
Pilot Lab - Engineering II - West Wing (Lab 114+)	901831	-	300,000	300,000	-	-	300,000
Putnam Refectory Renovation	901820*	-	300,000	300,000	90,049	-	209,951
Young Building Renovations Room 331 Offices	901832	-	30,000	30,000	-	-	30,000
<i>Renovation/Lump Sum - Allocation</i>		4,700,000	(4,320,350)	379,650	-	-	379,650
Subtotal		\$ 4,700,000	\$ 2,714,500	\$ 7,414,500	\$ 472,761	\$ 157,339	\$ 6,784,400
Reserves/Indenture Changes:							
Emergency/Reserve Fiscal Year FY 2014 & Prior Years		2,056,610	(1,871,379)	185,231	-	-	185,231
Indenture Change		-	(128,000)	(128,000)	-	-	(128,000)
Grand Total FY14		\$ 22,916,260	\$ (128,000)	\$ 22,788,260	\$ 1,744,636	\$ 1,412,443	\$ 19,631,181
Expenditures for Previous Years Authorized Projects					9,475,674		
Total FY14 Expenditures for all Projects					\$ 11,220,309		

*Projects were allocated funds from prior year reserves.

**Original Allocation includes the FY14 new bond authorization of \$13,422,450 and utilization of Prior Year Reserves of \$9,493,810.

UConn Health

Fiscal Year 2014 UCONN 2000 Deferred Maintenance / Code / ADA Renovation Lump Sum Project List and Expenditures through 6/30/14

Project Name	Project Number	Original Allocation	Changes	Revised Budget	Expended	Encumbered	Budget Balance Available
<u>Deferred Maintenance - Buildings/Infrastructure</u>							
Electrical System Upgrades							
Generator Modifications Bldgs 8,D,J & R - R1412	14-601.06	\$ 80,000	\$ 80,000	\$ 160,000	\$ -	\$ -	\$ 160,000
Munson Road Switch Gear - P3044b	14-601.07	200,000	-	200,000	-	-	200,000
Generator and ATS Breakers And GFI's Upgrade And Test - Ab0	14-601.08	75,000	-	75,000	-	-	75,000
Replace Cooling Tower CL&P Switches	14-601.10	440,000	-	440,000	-	12,300	427,700
Main Generator Room Fire Alarm Upgrade - Ab035	14-601.04	23,000	-	23,000	-	20,226	2,774
Cooling System Upgrades							
Replace 190 Ton Cooling Tower - Munson	14-601.09	100,000	-	100,000	-	13,800	86,200
Water System Upgrades							
Replace Boiler Feed Water Pumps - Esb004	14-601.12	50,000	-	50,000	-	-	50,000
Sewage and Sump Pump Replacement							
New Sump Pumps Clinic Bldg - Cb003	14-601.13	16,000	-	16,000	-	-	16,000
Sump Pump Chamber/tank Removal & New Tank Installation	14-601.14	15,000	-	15,000	-	9,998	5,002
Upgrade Of Library Elevator - LSB	14-601.02	63,100	-	63,100	-	57,079	6,021
Sand Filter For Chilled Water System - Bldgs K & B	14-601.11	60,000	-	60,000	-	11,250	48,750
P4016 File Rm Conversion	14-601.16	11,000	-	11,000	-	8,010	2,990
400 Farmington Ave Camera & Talkaphone Repairs	14-601.17	-	83,498	83,498	-	-	83,498
Demolition Of Dowling North & South Buildings - Bldgs G & I	14-604	-	1,070,000	1,070,000	-	78,100	991,900
<i>Deferred Maintenance - Buildings/Infrastructure Allocation</i>		1,716,900	(1,233,498)	483,403	-	-	483,403
Subtotal		\$ 2,850,000	\$ -	\$ 2,850,000	\$ -	\$ 210,763	\$ 2,639,237
<u>Code/ADA</u>							
Fire Alarm Upgrade (CI Cable) Phase 3	14-601.01	300,000	-	300,000	-	110,992	189,008
<i>Code/ADA Allocation</i>		-	-	-	-	-	-
Subtotal		\$ 300,000	\$ -	\$ 300,000	\$ -	\$ 110,992	\$ 189,008
<u>Deferred Maintenance - Access, Appearance & Safety</u>							
Miscellaneous Sidewalk Repairs	14-603.01	-	11,000	11,000	-	-	11,000
Roadway Improvements*		4,000,000	568,000	4,568,000	-	-	4,568,000
Indenture Change		-	(4,568,000)	(4,568,000)	-	-	(4,568,000)
<i>Deferred Maintenance - Access, Appearance & Safety Allocation</i>		-	-	-	-	-	-
Subtotal		\$ 4,000,000	\$ (3,989,000)	\$ 11,000	\$ -	\$ -	\$ 11,000
<u>Renovation And Lump Sum Projects</u>							
195 Farmington Ave.	13-044	500,000	-	500,000	-	-	500,000
<i>Renovation And Lump Sum Projects Allocation</i>		900,000	-	900,000	-	-	900,000
Subtotal		\$ 1,400,000	\$ -	\$ 1,400,000	\$ -	\$ -	\$ 1,400,000
<u>Reserves/Indenture Changes</u>							
Emergency/Reserve		850,000	(579,000)	271,000	-	-	271,000
Grand Total FY14		\$ 9,400,000	\$ (4,568,000)	\$ 4,832,000	\$ -	\$ 321,755	\$ 4,510,245
Expenditures for Previous Years Authorized Projects					-	3,714,548	
Total FY14 Expenditures for all Projects						\$ 3,714,548	

*The Roadway Improvements project was moved from Deferred Maintenance to the New Construction and Renovation category via an indenture change approved on 9/25/13 by the Board of Trustees.

University of Connecticut
UCONN 2000 Phases I-III Deferred Maintenance / Code / ADA Renovation Lump Sum
Expenditures For Fiscal Years 1996 - 2014

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY2009	FY2010	FY2011	FY2012	FY2013	FY2014	Total
Storrs & Regional Campuses	\$ 45,858,374	\$ 125,333,277	\$ (951,948)	\$ 12,531,250	\$ 19,548,353	\$ 20,869,953	\$ 16,342,058	\$ 22,449,663	\$ 11,809,480	\$ 13,320,722	\$ 11,220,309	\$ 298,331,493
UConn Health	-	11,700	1,396,608	2,567,873	3,178,646	4,286,953	5,156,558	3,010,806	2,786,926	3,784,252	3,714,548	29,894,870
Grand Total	\$ 45,858,374	\$ 125,344,977	\$ 444,660	\$ 15,099,123	\$ 22,726,999	\$ 25,156,906	\$ 21,498,616	\$ 25,460,469	\$ 14,596,406	\$ 17,104,974	\$ 14,934,857	\$ 328,226,363

UCONN 2000 Phases I-III Equipment, Library Collections and Telecommunications
Expenditures For Fiscal Years 1996 - 2014

	Phase I FY96-FY99	Phase II FY00-FY05*	FY 2006	FY 2007	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	FY 2014	Total
Storrs & Regional Campuses												
Academic Services ¹	\$ 28,880,247	\$ 61,083,979	\$ 4,093,617	\$ 5,203,765	\$ 14,934,628	\$ 8,577,412	\$ 2,079,299	\$ 3,747,178	\$ 252,889	\$ 100,286	\$ 7,178,597	\$ 136,131,897
Libraries ²	17,024,852	34,651,315	4,232,986	1,010,344	201,713	110,274	50	-	-	-	-	57,231,533
Student Services ³	541,364	1,764,989	2,651	43,731	200,860	131,085	39,040	6,630	-	-	136,927	2,867,278
Operational Support & Physical Plant Services ⁴	5,835,173	11,048,201	1,020	1,109,297	5,151,818	567,335	347,822	2,962,007	1,870,407	7,392,676	2,045,051	38,330,807
Total - UConn Storrs & Regional Campuses	\$ 52,281,635	\$ 108,548,484	\$ 8,330,274	\$ 7,367,137	\$ 20,489,019	\$ 9,386,105	\$ 2,466,211	\$ 6,715,815	\$ 2,123,296	\$ 7,492,963	\$ 9,360,575	\$ 234,561,514
UConn Health												
Academic Services ¹	\$ -	\$ 3,653	\$ 2,881,808	\$ 2,755,229	\$ 2,815,439	\$ 2,657,351	\$ 2,611,195	\$ 3,976,909	\$ 1,913,969	\$ 730,841	\$ 1,274,389	\$ 21,620,782
Libraries	-	-	1,407,677	1,139,897	566,486	1,161,182	1,244,581	1,609,784	120,783	214,513	3,546	7,468,449
Operational Support & Physical Plant Services ⁴	-	3,653	2,881,809	2,755,230	2,815,436	2,657,351	2,611,195	3,976,909	1,913,969	730,841	1,274,388	21,620,780
Total spent by UConn Storrs & Regional Campuses for UConn Health ⁵	\$ -	\$ 7,306	\$ 7,171,294	\$ 6,650,356	\$ 6,197,361	\$ 6,475,883	\$ 6,466,971	\$ 9,563,602	\$ 3,948,721	\$ 1,676,194	\$ 2,552,323	\$ 50,710,012
Grand Total	\$ 52,281,635	\$ 108,555,790	\$ 15,501,568	\$ 14,017,493	\$ 26,686,380	\$ 15,861,988	\$ 8,933,182	\$ 16,279,417	\$ 6,072,017	\$ 9,169,157	\$ 11,912,898	\$ 285,271,526

Notes:

- 1) Academic Services includes instruction, research, public service and academic support. Libraries are normally classified as part of academic support, but are shown separately on this report.
- 2) The funding of library acquisitions is being shifted to operating dollars as part of a phase-in plan which makes UCONN 2000 dollars available for reallocation to other capital expenses. This is important in the long-term, because at the end of Phase III of UCONN 2000 we must ensure a stable funding stream for this activity.
- 3) Student Services comprises all activities related to the University's student body excluding degree related activities and student records. For Student Services activities such as Residential Life and Dining Services that are classified as Auxiliary Enterprises activities, equipment purchases are not funded through UCONN 2000
- 4) Operating Support & Physical Plant Services includes those activities within the University that provide campus-wide support such as executive management, fiscal operations, general administrative services and physical plant operation.
- 5) UConn Health expenditures reflect amounts that have been spent and reimbursed by UCONN 2000 bond funds.

*FY05 funds were allocated in Phase II and Phase III. For this summary, all expenditures for FY05 were included in Phase II.